

ONE-DAY WORKSHOP FOR AUDIT-FACING TEAMS

# Audit readiness. Evidence that supports you.

Prepare your team for its next audit. Using one selected process, we connect requirements, controls and evidence and practise the discussion with auditors.

**1 day**

09:00-17:00, including breaks

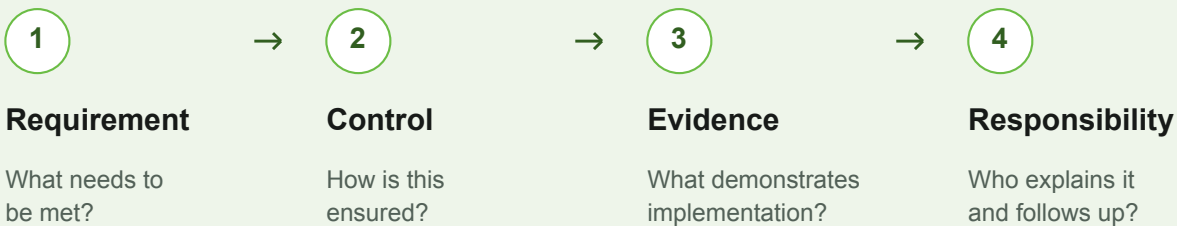
**Up to 10 people**

One team from your company

**DACH + Netherlands**

On site at your premises

**A TRACEABLE CHAIN FOR YOUR AUDIT DOCUMENTATION**



## Understand audit questions and answer confidently.

- Organise up to three controls and their evidence for one process.
- Identify unclear statements and missing evidence in a sample.
- Practise an audit interview and prioritise next actions.

For process and control owners, IT, information security, compliance and audit coordination in financial institutions and IT service providers.

**ON SITE IN DACH AND THE NETHERLANDS · TRAVEL AND HOTEL INCLUDED**

**FIXED PRICE FOR UP TO 10 PARTICIPANTS**

**€4,900**

Per workshop and company

All prices net, plus applicable VAT.

AGENDA AND SCOPE

# One day with your team

|                    |                                                                                                         |
|--------------------|---------------------------------------------------------------------------------------------------------|
| <b>09:00-10:15</b> | <b>Define the review</b><br>Audit context, process, selected criteria and roles.                        |
| <b>10:30-12:00</b> | <b>Organise evidence</b><br>Connect up to three controls with evidence and owners.                      |
| <b>13:00-14:15</b> | <b>Review a sample</b><br>Review the documents together for relevance, strength and gaps.               |
| <b>14:30-15:45</b> | <b>Practise an interview</b><br>Switch roles: answer questions, provide evidence and track open points. |
| <b>15:45-17:00</b> | <b>Plan actions</b><br>Assess findings, assign owners and agree next target dates.                      |

Breaks: 10:15-10:30, 12:00-13:00 and 14:15-14:30.

## Your results package

- An evidence matrix for one process and up to three controls.
- Feedback on the practice interview and questions to guide preparation.
- Up to five prioritised actions with owners and target dates.

## What to prepare

Choose one process and one set of assessment criteria. Identify the responsible people and bring up to three documents totalling no more than 20 pages. Specify the scope and guiding questions five working days in advance.

### PRACTICAL EXPERIENCE

Practical experience supporting BaFin-, SWIFT- and SEPA-related reviews and data-centre assessments. This includes supporting TSI and ISO/IEC 27001 certification processes and responsibility for DORA readiness.

**Scope:** One company, one team of up to ten participants, one process and one agreed set of assessment criteria. Documents are reviewed jointly in the workshop. Participants work on practical exercises in small groups where appropriate.

**Delivery:** One workshop day on site at one location in Germany, Austria, Switzerland or the Netherlands. Materials and outputs are handed over by the end of the day. Return travel and necessary overnight accommodation are included in the fixed price.

A workshop to prepare for audits. The sample does not provide a comprehensive audit-readiness assessment, a formal assurance opinion or a guarantee of an audit outcome.

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