

PROCESSES AND REGULATORY REQUIREMENTS

Governance Focus Check

Identify where decisions stall, responsibilities are unclear or evidence is missing. The check connects documented requirements with day-to-day practice.

2.5 days

One fixed, consecutive block

1 focus area

One clearly defined process

Up to 6 people

One joint working day

FOUR QUESTIONS ALONG YOUR SELECTED PROCESS

1



2



3



4

Trigger

When does the process start?

Decision

Who is authorised to decide?

Evidence

Where is the rationale recorded?

Follow-up

Who tracks implementation?

One clear focus for concrete improvements

- Architecture decisions: roles, approvals, exceptions and rationale.
- Change and release: handovers, risk assessments and decision rights.
- Service-provider management: controls, information flows and escalation.

Choose one of these focus areas. For IT leaders, architects and process owners in financial institutions or their IT service providers.

ON SITE IN DACH AND THE NETHERLANDS · TRAVEL AND HOTEL INCLUDED

PACKAGE PRICE FOR THE SCOPE DESCRIBED

€6,900

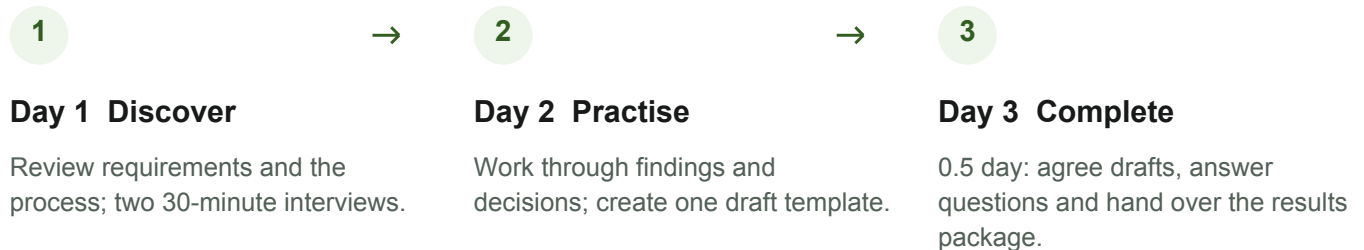
net, plus applicable VAT

Fixed price including preparation and results

SCOPE AND WORKING TOGETHER

What the package includes

One process in one company, up to ten documents totalling 100 pages, two short interviews and one joint working day.



Your results package

- A process and responsibility overview and a findings report of four to six pages.
- Up to ten prioritised recommendations with proposed owners.
- One draft template of up to two pages for approvals or decisions.

What to prepare

Provide the process description, role and approval rules, internal requirements and decision examples before the block begins. Nominate a process owner and two interviewees. Key roles attend the joint working day. We agree the document selection in advance.

Scope and additional services

One selected process, with assessment criteria documented in advance from internal requirements and selected regulatory requirements. One draft template is included. Additional areas, new analyses and further revision rounds are quoted separately.

Delivery: All phases take place on site at one client location in Germany, Austria, Switzerland or the Netherlands. No more than 2.5 consecutive service days, including handover and up to 60 minutes of questions on day 3. Return travel and necessary overnight accommodation are included in the fixed price.

A specialist assessment of the current position and an initial joint revision. A comprehensive organisational review, legal opinion and formal assurance opinions are not included.

Discuss a suitable date and scope

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