

DORA · BAFIN · SWIFT · SEPA

Audit & Regulatory Review Support for Organisations

Navigate audits and reviews relating to DORA, BaFin, SWIFT or SEPA.

Your organisation is being audited. I help prepare your teams, bring together evidence and responsibilities, and support auditor requests and discussions through to structured follow-up.

CONSULTING EFFORT

Based on scope and progress

PLANNING WINDOW

Schedule agreed individually

Preparation and follow-up are included in planning. Effort and scheduling depend on audit scope, follow-up questions, documentation and stakeholder availability.

Your deliverables

- An audit and evidence overview with responsible owners, dates and open items.
- Prepared subject-matter owners and coordinated support for auditor requests and discussions.
- Documented follow-up questions and findings, with a prioritised action overview.

Who is it for?

For banks, insurers, payment service providers and other organisations facing relevant IT, security or governance audits and reviews.

Price on request

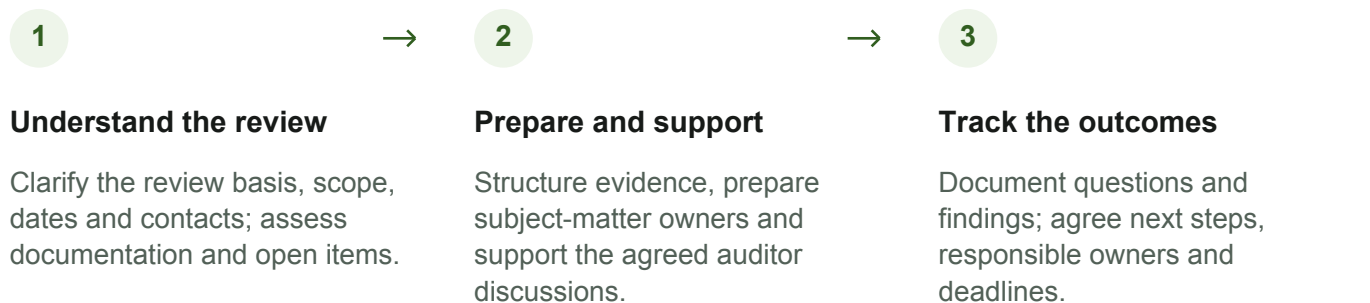
You will receive a binding proposal after we agree the scope. Services, deliverables, fees and dates are agreed before the engagement begins.

SCOPE AND COLLABORATION

A clearly agreed scope

One defined audit or review, supporting your organisation as the auditee, for example relating to DORA, BaFin, SWIFT CSP/CSCF or SEPA. The review basis, topics, evidence, meetings and follow-up are agreed individually.

Unlike client audit support for IT service providers, this engagement supports the organisation undergoing its own audit or review. Other review contexts can be agreed after scoping.



What you provide

The audit notice, requirement list, existing evidence and named owners. Approvals, confidentiality and permitted document sharing are clarified in advance.

Location and arrangements

On site in Germany, Austria, Switzerland and the Netherlands. Remote work and travel and accommodation costs are agreed in the individual proposal.

A separate engagement

A separate engagement, not included in existing workshop or check fixed prices. Additional review areas, appointments and revision rounds are agreed in advance.

What is not included

No independent audit, certification, legal advice or assurance of an audit outcome. No assumption of the assessor role, including an independent SWIFT CSP assessment. Implementation of actions requires a separate engagement.

Discuss the audit scope and your needs

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